## Practitioner's Docket No NG(ST)-6757

**PATENT** 



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Ian Robinson, et al.

Application No.:

10/706,723

Group No.:

Filed:

November 12, 2003

Examiner:

Unknown

For:

**DELTA-SIGMA DIGITAL-TO-ANALOG CONVERTER ASSEMBLY** 

**Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450

## AMENDMENT, PETITION AND FEE TO CORRECT AND/OR ADD TO ORIGINAL NAMED INVENTOR(S) (37 C.F.R. § 1.48(a) AND/OR (c))

37 C.F.R. § 1.48(f) states: "If the correct inventor or inventors are not named on filing a nonprovisional NOTE: application under § 1.53(b) without an executed oath or declaration under § 1.63, the later submission of an executed oath or declaration under § 1.63 during the pendency of the application will act to correct the earlier identification of inventorship.

"(2) If the correct inventor or inventors are not named on filing a provisional application without a cover sheet under § 1.51(c)(1), the later submission of a cover sheet under § 1.51(c)(1) during the pendency of the application will act to correct the earlier identification of inventorship."

1. This amendment and petition is to:

(check each applicable item)

		(Gricon Gueri applicable nom)		
	$\boxtimes$	correct the incorrect original naming of inventor(s) in the declaration under 37 C.F.R. § 1.48(a)		
		and/or		
		add inventor(s) for subject matter disclosed in the application, but previously unclaimed under 37 C.F.R. § 1.48(c)		
2.	. Addition and/or Deletion of Inventors(s)			
		(check and complete all applicable items)		
	$\boxtimes$	Add the following previously unnamed person(s) as inventor(s) of this application:  Frank Winter		
		CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8(a))		
herehv	certify tha	t this correspondence is, on the date shown below, being:		

#### MAILING

**FACSIMILE** 

deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

transmitted by facsimile to the Patent and Trademark Office

Date:

Lisa D. Jones

(type or print name of person certifying)

(Amendment, Petition and Fee to Delete and/or Add to Original Erroneously Named Inventor(s) in Declaration (37 C.F.R. § 1.48(a) [9-22]--Page 1 of 1)

3-6-04

	Delete the following previously incorrectly named inventor(s):				
		_ 			
3.	Atta	achme	ents		•
	(a)	A sta	tement from:		
			(chec	k items i	below that apply)
					n inventor that the error in inventorship occurred his or her part. 37 C.F.R. § 1.48(a)(1).
		☐ e	each person being dele occurred without decep	eted as a tive inte	nn inventor that the error in inventorship ntion on his or her part. 37 C.F.R. § 1.48(a)(1).
		b	y amendment of the c	laims an	n inventor that the amendement is necessitated at that the inventorship error occurred without or part. 37 C.F.R. § 1.48(a)(1).
	(b)	a de	claration by each of th	e actual	inventor(s) as required by 37 C.F.R. § 1.63.
	(c)	writt	en assent of the assig	nee ( <i>if a</i>	pplicable).
inventor(s			following item, if all the invent ect matter of all the claim(s) i		ining after this petition and amendment is accepted are not the claimed.)
			claim(s) being cla claim(s) at the tin	aimed in ne the la	n of the facts, including the ownership of all the this application, including the ownership of all the last claimed invention was made (Declaration of on Ownership of Claims in Application).
4.	Fee	Payr	ment (37 C.F.R. § 1.17	'(i))	
	The	e fee r	equired is paid as follo	ows:	
	Enclosed is a check for \$130.00			0	
			Charge Account <u>20-009</u>	<u>90</u> for an	y fee deficiency.
	Charge Account the sum of \$130.00.			of \$130.00.	
					Christy P. Ham.
					SIGNATURE OF ATTORNEY
Reg. No	<b>o</b> .:	43,66			Christopher P. Harris (type or print name of attorney)
Tel. No	.:(21	6) 62 <sup>.</sup>	1-2234		Tarolli, Sundheim, Covell & Tummino L.L.P. 526 Superior Avenue, Suite 1111 Cleveland, OH 44114-1400



I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Commissioner of Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on this below listed date.

Lisa D. Jones

Signature

2-6-04 Date

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicants** 

: Ian Robinson, et al.

Serial No.

: 10/706,723

Filing Date

: November 12, 2003

For

DELTA-SIGMA DIGITAL-TO-ANALOG

**CONVERTER ASSEMBLY** 

Group Art Unit

:

Not Yet Assigned

Attorney Docket No.

NG(ST)-6757

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# **INVENTORSHIP STATEMENT UNDER 37 C.F.R. §1.48(a)(1)**

Sir:

- I, Frank Winter, do hereby declare as follows:
- 1. The above-identified application filed in the U.S. Patent and Trademark Office (USPTO) is directed to a "Delta-Sigma Digital-To-Analog Converter Assembly" Application.

- 2. When the above-identified patent application was filed in the USPTO, an error occurred in the naming of the inventors and I was not named as an inventor of the aboveidentified patent application.
  - 3. I should be named as an inventor of the above-identified patent application.
- 4. The error which occurred in the inventorship of the above-identified patent application occurred without any deceptive intent on my part.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent thereon.

1/29/04

:34268.1



### **DECLARATION FOR PATENT APPLICATION**

Aca below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention **DELTA-SIGMA DIGITAL-TO-ANALOG CONVERTER ASSEMBLY** the specification of which

	is attached hereto
_X	was filed on November 12, 2003 as Application Serial No. 10/706,723 and was amended on (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

**Priority Claimed** 

•	(Number)	(Country)	(Day/Mo./Yr.Filed)	Yes	No

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Number)	(Country)	(Day/Mo./Yr.Filed)	Yes	No

Docket No. NG(ST)-6757

I hereby appoint as principal attorneys: Terry J. Anderson, Reg. No. 24,271; Connie M. Thousand, Reg. No. 43,191; Christopher P. Harris, Reg. No. 43,660; Gary J. Pitzer, Reg. No. 39,334.

each with full power to prosecute this application, to transact all business in the United States Patent and Trademark Office connected therewith, and to appoint and revoke associate and substitute associate attorneys.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Address all correspondence to:

Christopher P. Harris

Tarolli, Sundheim, Covell & Tummino, L.L.P.

1111 Leader Building 526 Superior Avenue Cleveland, OH 44114

Phone: 216-621-2234 ext 104

Name of Inventor:	lan Robinson		
Residence and Post Office Address:	1079 Marco Place		
	Venice, CA 90291		
Country of Citizenship:	U.S.A.		ı
Inventor's signature:	Clan A Pholo	Date:	1/6/04

# DECLARATION AND POWER OF ATTORNEY

Name of Inventor:	Frank Winter	_
Residence and Post Office Address:	13485 Grandvia Point	_
	San Diego, California 92130	_
Country of Citizenship:	U.S.A.	_
Inventor's signature:	Date:	1/28/04

## Practitioner's Docket No NG(ST)-6757 **PATENT** IN THE UNITED STATES PATENT AND TRADEMARK OFFICE n re application of: Ian Robinson, et al. Application No.: 10/706,723 Group No.: Unknown Filed: November 12, 2003 Examiner: Unknown **DELTA-SIGMA DIGITAL-TO-ANALOG CONVERTER ASSEMBLY** For: **Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450 **ASSENT OF ASSIGNEE TO CORRECTION** AND/OR ADDITION OF INVENTOR(S) **Northrop Grumman Corporation** (type or print name of assignee) **1840 Century Park East** Address Los Angeles, CA 90067-2199 **Assignment**

#### **ASSIGNEE STATEMENT**

A "STATEMENT UNDER 37 C.F.R. §3.73(b)" is as follows:

An Assignment conveying the entire right, title, and interest in and to any and all inventions pertaining to the above-identified patent application to Northrop Grumman Corporation has been filed with the U.S. Patent and Trademark Office on November 12, 2003. This Assignment has been executed by Ian Robinson. The Assignee assents to the addition of the inventor, Frank Winter, by the petition filed herewith.

Terry Anderson, Senior Corporate Counsel (type or print name and title of person authorized to

sign on behalf of assignee)